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Attorney Docket: 600-1-081CONCIP1

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): DANIEL HAWIGER, *et al.*

SERIAL NO.: 10/800,023

EXAMINER: Unassigned

FILED: MARCH 12, 2004

ART UNIT: 1644

TITLE: ENHANCED ANTIGEN DELIVERY AND MODULATION OF THE  
IMMUNE RESPONSE THEREFROM

**CERTIFICATE OF MAILING**

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Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR § 1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publication forwarded herewith is listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed material, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

Enclosed please find copies of the References **AA** through **AF** listed on the attached Form PTO-1449. No fee is believe due for the filing of this Statement. However, should the Patent and Trademark

Office determine additional fees are due, authorization is hereby given to charge Deposit Account No. 11-1153 for this filing.

Respectfully submitted,

A handwritten signature in black ink, reading "Veronica Mallon". The signature is written in a cursive style with a horizontal line underneath the name.

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| Form PTO-1449 IRSY. 7.801<br>U.S. Department of Commerce<br>Patent and Trademark Office     | ATTORNEY<br>DOCKET NO. | 600-1-081CONCIP1       |
|   | SERIAL NO.             | 10/800,023             |
| LIST OF DOCUMENTARY INFORMATION<br>CITED BY APPLICANT<br><br><b><u>SUPPLEMENTAL IDS</u></b> | APPLICANT              | HAWIGER, <i>et al.</i> |
|   | FILING DATE            | MARCH 12, 2004         |
|   | GROUP                  | 1644                   |

U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |  | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB-<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|--|--------------------|------|------|-------|---------------|-------------------------------|
|                     |  |                    |      |      |       |               |                               |
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FOREIGN PATENT DOCUMENTS

|  |  | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION<br>YES NO |
|--|--|--------------------|------|---------|-------|---------------|-----------------------|
|  |  |                    |      |         |       |               |                       |
|  |  |                    |      |         |       |               |                       |
|  |  |                    |      |         |       |               |                       |
|  |  |                    |      |         |       |               |                       |

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    | TITLE: <b>ENHANCED ANTIGEN DELIVERY AND MODULATION OF THE IMMUNE RESPONSE THEREFROM</b> |
|--|----|---|
|  | AA | Jiang, Wanping, et al, <i>Nature</i> , Vol. 375, 151-155 (1995)                         |
|  | AB | Swiggard, Wm. J., et al, <i>Cellular Immunology</i> , Vol. 165, 302-311 (1995)          |
|  | AC | Inaba, Kayo, et al, <i>Cellular Immunology</i> , Vol. 163, 148-156 (1995)               |
|  | AD | Ming Guo, et al, <i>Human Immunology</i> , Vol. 61, 729-738 (2000)                      |
|  | AE | Paul F. McKay, et al, <i>Eur. J. Immun.</i> , Vol. 28, 4071-4083 (1988)                 |

